

YOR-2000-0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

M. Gupta et al.

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: Concurrently

Examiner: Unassigned

For: A DISTRIBUTED BID PROCESSING METHOD FOR OPEN-CRY AND  
DESCENDING PRICE AUCTIONS

Assistant Commissioner of Patents  
Washington, D.C. 20231



**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. A copy of the listed documents is provided herewith for the convenience of the Examiner. In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of the related documents is discussed on pages 1 and 2 of the subject application.

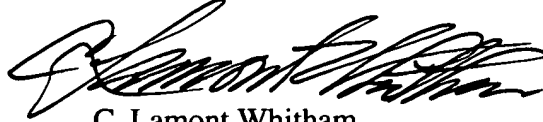
This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

YOR-2000-0004

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's deposit account no. 23-1951 (Whitham, Curtis & Whitham).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "C. Lamont Whitham", written in a cursive style.

C. Lamont Whitham  
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Reston, VA 20191  
(703)391-2510

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
YOR-2000-0004

SERIAL NO.  
Unassigned

APPLICANT:  
M. Gupta et al.

FILING DATE:  
Concurrently

GROUP:  
Unassigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		M. Kumar, et al., "Internet Auctions", <a href="http://www.moneta.com/auction/ppf">http://www.moneta.com/auction/ppf</a> 1998
		H.G. Lee, et al., "Impact of the Electronic Marketplace on Transaction Cost and Market Structure", International Journal of Electronic Commerce, Vol. 1, No. 1, pp. 127-149.
		E. Turban, "Auctions and Bidding on the Internet: An Assessment", International Journal of Electronic Markets, Vol. 7, No. 4.
		M. Kumar, et al., "Business Negotiations on the Internet", Geneva, Switzerland, July 21-23, 1998.
		W. Vickrey, "Conterspeculation, Auctions, and Competitive Sealed Tenders", The Journal of Finance, March 1961, pp. 8-37.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

Express Label EL480513373US  
Date of Deposit: Feb. 17, 2000